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| APPLICATION NO.                        | FILING DATE    | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|----------------------------------------|----------------|----------------------|---------------------|------------------|
| 10/595,942                             | 05/20/2006     | Makoto KUMATORIYA    | 38195.79            | 9417             |
| 54067<br><b>OKADA</b>                  | 7590 06/29/200 | 9                    | EXAM                | IINER            |
| C/O KEATING & BENNETT, LLP             |                |                      | PEETS, MONIQUE R    |                  |
| 1800 Alexander Bell Drive<br>SUITE 200 |                |                      | ART UNIT            | PAPER NUMBER     |
| Reston, VA 20191                       |                |                      | 1796                |                  |
|                                        |                |                      |                     |                  |
|                                        |                |                      | NOTIFICATION DATE   | DELIVERY MODE    |
|                                        |                |                      | 06/29/2009          | ELECTRONIC       |

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

JKEATING@KBIPLAW.COM uspto@kbiplaw.com

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Application No.                                                                                                                                               | Applicant(s)                                                                        |  |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|--|
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | 10/595,942                                                                                                                                                    | KUMATORIYA ET AL.                                                                   |  |
| Office Action Summary                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Examiner                                                                                                                                                      | Art Unit                                                                            |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | MONIQUE PEETS                                                                                                                                                 | 1796                                                                                |  |
| The MAILING DATE of this communication app<br>Period for Reply                                                                                                                                                                                                                                                                                                                                                                                                                                                             | ears on the cover sheet with the c                                                                                                                            | orrespondence address                                                               |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b). | ATE OF THIS COMMUNICATION 6(a). In no event, however, may a reply be timil apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE | J.<br>nely filed<br>the mailing date of this communication.<br>D (35 U.S.C. § 133). |  |
| Status                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                               |                                                                                     |  |
| 1) Responsive to communication(s) filed on                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                               |                                                                                     |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | -·<br>action is non-final.                                                                                                                                    |                                                                                     |  |
| 3) Since this application is in condition for allowan                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                               | secution as to the merits is                                                        |  |
| closed in accordance with the practice under E                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                               |                                                                                     |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | pa Quay.e, 1000 0.21 1.1, 10                                                                                                                                  |                                                                                     |  |
| Disposition of Claims                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                               |                                                                                     |  |
| 4) Claim(s) <u>4-6</u> is/are pending in the application.                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                               |                                                                                     |  |
| 4a) Of the above claim(s) is/are withdraw                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | n from consideration.                                                                                                                                         |                                                                                     |  |
| 5) Claim(s) is/are allowed.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                               |                                                                                     |  |
| 6)⊠ Claim(s) <u>4-6</u> is/are rejected.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                               |                                                                                     |  |
| 7) ☐ Claim(s) is/are objected to.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                               |                                                                                     |  |
| 8) Claim(s) are subject to restriction and/or                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | election requirement.                                                                                                                                         |                                                                                     |  |
| Application Papers                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                               |                                                                                     |  |
| 9)☐ The specification is objected to by the Examiner                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                               |                                                                                     |  |
| 10) The drawing(s) filed on is/are: a) acce                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                               | Examiner.                                                                           |  |
| Applicant may not request that any objection to the                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                               |                                                                                     |  |
| Replacement drawing sheet(s) including the correcti                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                               | • •                                                                                 |  |
| 11)☐ The oath or declaration is objected to by the Ex                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | • • • • • • • • • • • • • • • • • • • •                                                                                                                       | • •                                                                                 |  |
| Priority under 35 U.S.C. § 119                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                               |                                                                                     |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | priority updor 25 LLS C & 110(a)                                                                                                                              | (d) or (f)                                                                          |  |
| 12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:                                                                                                                                                                                                                                                                                                                                                                                                                                             | priority under 35 0.5.C. § 119(a)                                                                                                                             | -(a) or (i).                                                                        |  |
| 1. ☐ Certified copies of the priority documents                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | have been received                                                                                                                                            |                                                                                     |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                               | on No                                                                               |  |
| 2. Certified copies of the priority documents                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                               |                                                                                     |  |
| 3. Copies of the certified copies of the prior                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | •                                                                                                                                                             | ed III triis National Stage                                                         |  |
| application from the International Bureau                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                               | _                                                                                   |  |
| * See the attached detailed Office action for a list of                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | or the certified copies not receive                                                                                                                           | a.                                                                                  |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                               |                                                                                     |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                               |                                                                                     |  |
| Attachment(s)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                               |                                                                                     |  |
| 1) Notice of References Cited (PTO-892)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 4) Interview Summary                                                                                                                                          |                                                                                     |  |
| 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Paper No(s)/Mail Da 5) Notice of Informal Pa                                                                                                                  |                                                                                     |  |
| 3) ☑ Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date <u>5/9/07,3/25/08</u> .                                                                                                                                                                                                                                                                                                                                                                                                                         | 6) Other:                                                                                                                                                     | аст, трисаноп                                                                       |  |
| · · · · · · · · · · · · · · · · · · ·                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                               |                                                                                     |  |

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#### **DETAILED ACTION**

1. Claims 4-6 are pending, wherein claims 4-6 are drawn to a lens material.

### Claim Analysis

2. Summary of Claim 4;

| AI | ens material comprising                                                                |
|----|----------------------------------------------------------------------------------------|
| i  | Lithium tantalite including a lithium oxide and a tantalum oxide; wherein a molar      |
|    | composition ratio of the lithium oxide and the tantalum oxide in the lithium tantalite |
|    | is in a range of 0.975 to 0.982                                                        |
| ii | A birefringence of the lithium tantalite is in a range of -0.0005 to 0.0005            |

# Claim Rejections - 35 USC § 102

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 4. Claims 4-6 are rejected under 35 U.S.C. 102(b) as being anticipated by Gopalan et al. (6,211,999).

Gopalan et al. discloses a lens material comprising a lithium tantalate single-crystal that requires a low voltage and a photo-functional device comprising the crystal. The crystal has a molar fraction of  $\text{Li}_2\text{O}/(\text{Ta}_2\text{O}_5 + \text{Li}_2\text{O})$  between 0.492 and 0.50.

The instant claims teach a molar composition. When the molar fraction is converted into molar composition of  $(Ta_2O_5 + Li_2O)$ , the range of  $(Ta_2O_5 + Li_2O)$  is between 0.967 and 1. wherein the birefringence of the lithium tantalate is 0 [abstract]. Gopalan is silent on the propertie of birefringence, however the composition taught by Gopalan is the same as the instant claims, therefore the properties of the birefringence is inherent to the composition.

Referring to claim 5 and 6; Gopalan discloses a photo-functional comprising a lithium tantalate single-crystal [claim 3].

#### Conclusion

5. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MONIQUE PEETS whose telephone number is (571)270-7351. The examiner can normally be reached on Monday thru Friday, 8:00am to 5:00pm EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Harold Pyon can be reached on 571-272-1498. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

**MRP** 

/Harold Y Pyon/ Supervisory Patent Examiner, Art Unit 1796